

TO: Brandon Dei, Esq.

CC: Daniel S. Furst, Esq.

From: Alejandra Candelario

Senior Case Administrator

Subject: FINRA Dispute Resolution Services Arbitration Number 24-00703

Lenny F. Millen Jr., James Hitchcock, Lorraine Hitchcock, et al. vs. Spartan Capital

Securities, LLC and John Lowry

Date: December 20, 2024

Our office has received Claimant Counsel's Request to Update Counsel. Please be advised that in order to update or change counsel, new counsel must submit a notice of appearance.0.

If you have any questions, please do not hesitate to contact me at 212-858-4356 or by email at NEProcessingCenter@finra.org.

ACA:lfy:LC53A idr: 02/18/2020

RECIPIENTS:

Brandon Dei, Esq., SA Law Group, PLLC, 223 Wall Street, Suite 377, Huntington, NY 11743 On Behalf Of: James Hamlett; Maurice Heard; James Hitchcock; Lorraine Hitchcock; Leonard F. Millen, Jr.

CC:

Daniel S. Furst, Esq., Sichenzia Ross Ference Carmel LLP, 1185 Avenue of the Americas, 31st Floor, New York, NY 10036

On Behalf Of: Spartan Capital Securities, LLC; John Lowry